

# World Bank - Grant Reporting and Monitoring (GRM) Report

Report to Donor

Ref. TFOA1122  
Printed On: 04/17/2018

Reporting Period: 07/01/2016 to 06/30/2017  
Report Type: Progress Report  
Report Status: Approved

Assignment: TFOA1122

## Vietnam: FCPF Readiness Grant Additional Funding FCPFR - Forest Carbon Partnership Facility

Task Team Leader: 00000186457 - Lan Thi Thu Nguyen  
Approving Manager: 00000175113 - Christophe Crepin

Summary Information	
TF Status	ACTV
Recipient Country	Vietnam
Executed By	Recipient
Managing Unit	9061 - GENDR
Grant Start Date / End Date	11/18/2016 to 06/30/2020
Original Grant Amount	5,000,000.00
Grant Amount	5,000,000.00
Outstanding Commitments	0.00
Cumulative Disbursements	1,000,000.00 as of 06/30/2017
Disbursed 07/01/2016 to 06/30/2017	1,000,000.00
Donor	TF602001 - MULTIPLE DONORS

This GRM report includes the following sections: Overview, Components, Outcome, Execution, Program(FCPFR), Disbursements.

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## OVERVIEW

### Overall Assessments

#### Grant Objectives:

The objective of the Grant is to support Vietnam to become ready for future REDD+ implementation by preparing the key elements, systems and policies needed in a socially and environmentally sound manner.

#### Overall progress from 07/01/2016 to 06/30/2017 with regard to Achieving Grant Objectives:

##### Comment:

The FCPF provides grant funding for the preparation for REDD+ and preparation of a Readiness Proposal including the development of supporting policies and systems, support for adopting national REDD+ strategies; development of reference emission levels (RELs); the designing of a measurement, reporting, and verification (MRV) systems; and setting up REDD+ national management arrangements, including improvements to existing environmental and social safeguards. FCPF is also supporting work on stakeholder consultations and engagement at different levels, including consultation work with forest dependent village communities, protected area Management Boards, State Forest Companies, District and Provincial officials and non-government organizations. The Grant supports the development of the Vietnam Emission Reduction Program in the North Central Region. Significant progress has been achieved in the grant implementation and the project is on track of achieving the grant objectives (key achievements below).

#### Overall progress from 07/01/2016 to 06/30/2017 with regard to Implementation of Grant Financed Activities:

##### Comment:

##Progress to mid-June 2017 has included the completion of the draft ERPD and its 1st presentation to the CFPs  
##Extension of the Letter of Intent and various packages being completed which contribute to Vietnam's Readiness.  
##Continued Communications and stakeholder consultation activities for the ERPD;  
##Revision of the MRV/REL for the ER-P using the updated forestry data 2005-2015;  
##Provincial REDD Action Plans (PRAPs) continued to be improved in line with the NRAP  
##Contribution to the development of Laws on Forestry;  
##FCPF coordination with UN-REDD on joint approach to developing Feedback Grievance Redress Mechanisms and UN-REDD Safeguard Information System;  
##Improved FCPF coordination with different projects in Emission Reduction Program (ER-P) area and coordination workshops with six ER-P provinces and consultation on the ERPD at central level.

### Grant follow-up and structure

#### Description and context of Grant:

The FCPF provided the additional grant funding to continuously contribute to the preparation for REDD+ Readiness of Vietnam, including analytical studies and development of capacities for the effective and efficient REDD+ implementation at national and provincial levels, policies and technical support on SFC and FMB reform for REDD+ service provision, private sector engagement and support for FLEGT, development of strategic environmental and social assessment national and sub-national REDD+ strategy option, stakeholder consultation and participation.

#### Expected follow up (if any):

##### Comment on follow up:

Finalization of the ERPD with improvements made basing on the CF15 meeting's comments, including data on accounting area, MRV/REL, BSM, cross-sectoral coordination and safeguards issues

#### End Date of Last Site Visit:

#### Restructuring of Grant:

N/A

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## OUTCOME

### Comments on outcome achieved from 07/01/2016 to 06/30/2017

- Draft revised ER-PD completed and reviewed by Bank team to be found relatively satisfactory
- Capacity in REDD+ readiness is enhanced, especially in the NCC region to be ready for the ER-P implementation
- REDD+ readiness preparation in terms of capacity building, communication, MRV, safeguards, and legal frameworks was improved.

### Grant Outcome Indicators

No Outcome indicators are defined or used for this grant.

## COMPONENTS

There are no components defined for this trust fund / grant.

### Comment on planned and actual Output

Quality improvement of the ERPD.

### Comment on component implementation progress

Component 1: Analytical studies and development of capacities for the effective and efficient REDD+ implementation at national and provincial level: satisfactory. PRAPs of the ER-P completed and approved by Provincial People's Committees.

Support made to the revision of the Forestry Law

Component 2: Policies review, studies and development of user-friendly guidance materials on SFC reform for REDD+ service provision. Satisfactory. ER-P development benefited from policies review and studies under the Readiness grant.

Component 3: Stakeholder consultation and regional cooperation; and Strategic Environmental and Social Assessment (SESA) in connection with the refinement of national and sub-national REDD+ strategy options: Satisfactory. Consultation workshops conducted and outreach activities carried out at community level. Safeguards documents were improved taking into consideration the comments from the CF15 meeting.

Component 4: Project management, and monitoring and evaluation. Moderately satisfactory.

## EXECUTION

### Bank project related to the grant

Project ID / Name: P124584 - Vietnam- FCPF REDD Readiness  
Project Status: Lending  
Global Focal Area: Climate change  
Product Line: CN - Carbon Offset

### Implementing agency and contact details

Agency: Ministry of Agriculture and Rural Development  
Contact: Nguyen Thi Thu Thuy, PMU Director  
Address: 14 Thuy Khue St., Hanoi, Vietnam  
Phone: +84 983070876  
Website:   
Email: thuy@vietnam-fcpf.vn

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## PROGRAM

### Program Specific Questions

#### 1. Describe progress in how the Grant activities are being coordinated with other REDD+-related initiatives, including those funded by Describe progress in how the Grant activities are being coordinated with other REDD+-related initiatives, including those f

The grant activities are well coordinated with other REDD+-related initiatives in Vietnam, including most importantly the UNREDD Phase 2. It was agreed at the grant preparation stage that the two funds would complement each other and avoid overlapping tasks. Specifically, work on the BSM and Safeguards Information System were very well coordinated between the two programs. Other donor funded programs funded the Provincial REDD+ Action Plans (PRAPs) and work on payments for forest environmental services (USAID Vietnam Forest and Delta Program); pilot provincial REL and REDD+ activities in Quang Binh province (GIZ); first PRAP of Dien Bien province and sustainable forest management in the Northwest watershed area (JICA)

#### 2. Describe any important changes in the technical design or approach related to the Grant activities.

Additional support needed for the revision of the ERPD for 2nd presentation to the CFPs.

#### 3. Describe progress in addressing key capacity issues (implementation, technical, financial management, procurement) related to this Grant.

Changes in key staffing has significantly improved implementation (eg. the appointment of the full time position of the PMU Deputy Director and Vietnam REDD Office). Fiduciary trainings including on FM and procurement were provided to PMU staff and implementation support has been provided by the Bank's task team. VNForest was brought on board to support the revision of the ERPD especially the areas of policies and cross-sectoral coordination.

#### 4. Describe progress in addressing social and environmental issues (including safeguards) related to the Grant. Is the SESA conducted in accordance with the Common Approach?

Safeguards consultant positions in the PMU were filled and supported the finalization of the safeguards documents of the ERPD. Additional consultation with the Ethnic Minorities was conducted and provide inputs to the ERPD

#### 5. Is the ESMF prepared in accordance with the Common Approach?

With the initiative of key donors in Vietnam such as WB, ADB, DFAT, USAID and partners, streamlining procedures and instruments for social and environment safeguards are being discussed, including an ESMF template that was recently developed for Vietnam. It was agreed that the environmental and social instruments and approaches used in the FCPF program will made consistent with the approach used for other donor/WB projects in Vietnam, which is in accordance with the Common Approach.

The SESA/ESMF work will focus on both national and provincial REDD strategies, appropriate consultations, analytical work including the review of the newer Land and Environmental laws as they pertain to the ER programs. There is a wealth of very good background technical material from numerous partners (e.g., USAID, SNV, Winrock, JICA, SRD, GIZ etc.) that can provide solid evidence and inputs for these efforts. Plans for further refinement around the unique aspects of drivers of forest degradation and deforestation at the provincial level will be addressed.

#### 6. Describe progress in stakeholder consultation, participation, disclosure of information, and the FGRM related to this Grant.

##A comprehensive communications and stakeholder consultation plan for potential stakeholders was developed in April 2014 and further improved over time to meet the requirement, especially the need under the ER-Program.

##The Project has improved communication on the FCPF REDD+ at provincial and lower levels, including awareness raising;

##The project has supported awareness raising and training of trainers for FPIC in three FCPF provinces.

##Program information is posted on the Vietnam REDD Office website and is accessible to the public;

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## DISBURSEMENTS

### Disbursements Summary in USD

Date From	Date To	Planned Cumulative	Planned Period	Actual Cumulative	Actual Period
07/01/2016	12/31/2016	0.00	0.00	0.00	0.00
01/01/2017	06/30/2017	0.00	0.00	1,000,000.00	1,000,000.00
07/01/2017	12/31/2017	0.00	0.00	1,000,000.00	0.00
01/01/2018	06/30/2018	0.00	0.00	1,428,380.95	428,380.95
07/01/2018	12/31/2018	0.00	0.00	0.00	0.00
01/01/2019	06/30/2019	0.00	0.00	0.00	0.00
07/01/2019	12/31/2019	0.00	0.00	0.00	0.00
01/01/2020	06/30/2020	0.00	0.00	0.00	0.00